



3731

PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 16 2002

In Re Applicati n of: Timothy Ley et al  
Applicati n N .: 10/055307  
Filed: 23 January 2002  
For: MULTI-LAYER STENT  
Examiner:  
Group Art Unit: 3731

TECHNOLOGY CENTER R3700

Commissioner for Patent  
Washington, D.C. 20231

Docket No.: S63.2-8618

**TRANSMITTAL LETTER**

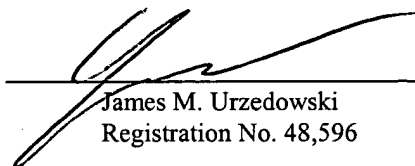
1. In regard to the above-identified application, we are submitting the attached:  
**INFORMATION DISCLOSURE STATEMENT with references; VAS Transmittal Letter; and Postcard.**
2. With respect to fees:  
☐ No additional fee is required.  
Attached is check(s) in the amount of \$  
☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**  
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

**TRANSMITTAL LETTER**  
**DOCKET NO.: S63.3-8618**  
**Application No.: 10/055307**

VIDAS, ARRETT & STEINKRAUS

Date: April 10, 2002


By:



James M. Urzedowski  
Registration No. 48,596

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001

**Certificate Under 37 CFR 1.8:** I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Commissioner for Patents, Washington D.C. 20231, on April 11, 2001.



Julie Emerson



PATENT #6

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:** Timothy Ley et al  
**Application No.:** 10/055307  
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Commissioner for Patent  
Washington, D.C. 20231

Docket No.: S63.2-8618

## INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached Form PTO-1449 and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying modified form 1449 as related by

**Information Disclosure Statement**  
**Application No.10/055307**  
**Page 2**

priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the 1449 and/or 892 forms from these cases.

☒ **I.** This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- ☒ (1) within 3 months of the filing date of the application (other than a CPA); or
- ☐ (2) within 3 months of entry of the national stage; or
- ☒ (3) before the mailing of a first Office Action on the merits;
- ☐ (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- ☐ (5) as part of a continued prosecution application (CPA); or
- ☐ (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

☐ **II.** This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- ☐ (1) a certification as specified in §1.97(e) is provided below; or
- ☐ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this

statement.

\_\_\_\_\_ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- (1) a certification as specified in §1.97(e) is completed below; and
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

**X** **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

***If paragraph II.1 or III is checked, also check one of the paragraphs below***

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

***For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.***

***Information Disclosure Statement***  
***Application No.10/055307***  
***Page 4***

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS, P.A.

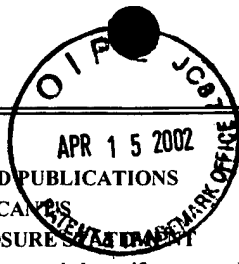
Date: April 8, 2002

By: 

JAMES M. URZEDOWSKI.

Registration No.: 48,596

Suite 2000  
6109 Blue Circle Drive  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001  
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FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE  
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-8618

APPLICATION NO. 107055807

APPLICANT: Ley et al.

APR 16 2002

FILING DATE: January 23, 2002

GROUP: 3731  
TECHNOLOGY CENTER H3700

REFERENCE DESIGNATION

U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,503,569	3/12/85	Dotter	3/1.4	
	AB	4,512,338	4/23/85	Balko et al.	128/1 R	
	AC	4,732,152	3/22/88	Wallsten et al.	128/343	
	AD	4,733,665	1/11/94	Palmaz	606/108	
	AE	4,768,507	9/6/88	Fischell et al.	128/303 R	
	AF	4,820,298	4/11/89	Leveen et al.	623/1	
	AG	4,830,003	5/16/89	Woiff et al.	128/343	
	AH	4,848,343	7/18/89	Wallsten et al.	128/343	
	AI	4,886,062	12/12/89	Wiktor	128/343	
	AJ	4,907,336	3/13/90	Gianturco	29/515	
	AK	4,990,155	2/5/91	Wilkoff	606/191	
	AL	5,019,090	5/28/91	Pinchuk	606/194	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY
	✓ BA	2,008,312	5/30/00	CA
	BB	2,025,626	9/18/90	CA
	BC	0 878 173 B1	8/9/00	EP
	BD	96/26689	9/6/96	WO
	BE	99/30638	6/24/99	WO

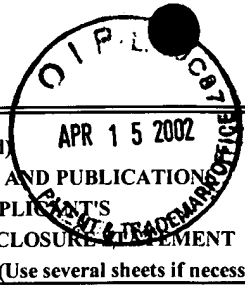
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	
	CB	
	CC	

EXAMINER

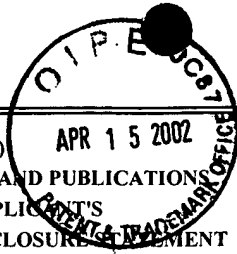
DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-8618		<b>APPLICATION NO.:</b> 10/055307	
				<b>APPLICANT:</b> Ley et al.		<b>APR 10 2002</b>	
				<b>FILING DATE:</b> January 23, 2002		<b>GROUP:</b> 3731	
				<b>TECHNOLOGY CLASS:</b> 10700			
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>	
	AA	5,234,456	8/10/93	Silvestrini	606/194		
	AB	5,258,020	11/2/93	Froix	623/1		
	AC	5,443,458	8/22/95	Eury	604/891.1		
	AD	5,618,299	4/8/97	Khosravi et al.	606/198		
	AE	5,645,559	7/8/97	Hachtman et al.	606/198		
	AF	5,667,523	9/16/97	Bynon et al.	606/198		
	AG	5,755,770	5/26/98	Ravenscroft	623/1		
	AH	5,824,046	10/20/98	Smith et al.	623/1		
	AI	5,843,120	12/1/98	Israel et al.	606/198		
	AJ	5,843,168	12/1/98	Dang	623/1		
	AK	5,873,906	2/23/99	Lau et al.	623/1		
	AL	5,957,930	9/28/99	Vrba	606/108		
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>			
	BA	01/06952 A1	2/1/01	WO			
	BB	01/85064 A1	11/15/01	WO			
	BC	00/71052 A1	11/30/00	WO			
	BD						
	BE						
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FORM PTO-1449 (Modified)

APR 15 2002

ATTY DOCKET NO.: S63.2-8618

APR 16 2002

APPLICATION NO.: 10/055307

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(Use several sheets if necessary)

APPLICANT: Ley et al.

TECHNOLOGY CENTER R3700

FILING DATE: January 23, 2002

GROUP: 3731

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EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,980,565	11/9/99	Jayaraman	623/1	
	AB	6,099,559	8/8/00	Nolting	623/1.16	
	AC	6,120,522	9/19/00	Vrba et al.	606/190	
	AD	6,123,712	9/26/00	Di Caprio et al.	606/108	
	AE	6,152,957	11/28/00	Jang	623/1.37	
	AF	6,340,366	1/22/02	Wijay	623/1.13	
	AG	6056767	5/2/00	Boussignac et al	606/194	
	AH	6287335	9/11/01	Drasler et al	623/1.28	
	AI	6176872	1/23/01	Miksza	623/1.15	
	AJ					
	AK					
	AL					

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